

Substitute for FORM PTO-1449	Attorney Docket Number 102.0001-12000	Customer No. 22882
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant Gary K. Michelson, M.D.	Application Number (Cont. of 10/685,776)
(Use several sheets if necessary) Sheet <u>1</u> of <u>4</u>	Filing Date December 19, 2003	Group Art Unit (3764)
Examiner (M. Brown)		

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	D 257511	Nov-80	Zahn			
	D 260525	Sep-81	Lassiter			
Lit. 1	Re. 31,865	Apr-85	Roux			
	2,243,718	May-41	Moreira			
	2,537,070	Jan-51	Longfellow			
	2,543,780	Mar-51	Hipps et al.			
Lit. 2	3,128,768	Apr-64	Geistauts			
Lit. 1	3,298,372	Jan-67	Feinberg et al.			
	3,426,364	Feb-69	Lumb			
Lit. 2	3,486,505	Dec-69	Morrison			
Lit. 1	3,604,487	Sep-71	Gilbert			
Lit. 3b	3,719,186	Mar-73	Merig, jr.			
Lit. 2	3,848,601	Nov-74	Ma et al.			
	3,867,728	Feb-75	Stubstad et al.			
Lit. 2	3,875,595	Apr-75	Froning			
Lit. 2	3,892,232	Jul-75	Neufeld			
Lit. 1	3,905,047	Sep-75	Long			
Lit. 1	4,016,651	Apr-77	Kawahara et al.			
	4,051,905	Oct-77	Kleine			
	4,059,115	Nov-77	Jumashev et al.			
	4,070,514	Jan-78	Entherly et al.			
Lit. 1	4,086,701	May-78	Kawahara et al.			
Lit. 1	4,124,026	Nov-78	Berner et al.			
Lit. 1	4,175,555	Nov-79	Herbert			
Lit. 1	4,177,524	Dec-79	Grell et al.			
	4,181,457	Jan-80	Holmes			
Lit. 1	4,259,072	Mar-81	Hirabayashi et al.			
Lit. 1	4,262,369	Apr-81	Roux			
✓	4,289,123	Sep-81	Dunn			

Lit. 1 MB	4,293,962	Oct-81	Fuson			
	4,309,777	Jan-82	Patil			
Lit. 1	4,328,593	May-82	Sutter et al.			
	4,332,036	Jun-82	Sutter et al.			
	4,341,206	Jul-82	Perrett et al.			
Lit. 1 & 3c	4,349,921	Sep-82	Kuntz			
Lit. 1	4,356,572	Nov-82	Guillemin et al.			
	4,401,112	Aug-83	Rezaian			
	4,423,721	Jan-84	Otte et al.			
Lit. 1	4,484,570	Nov-84	Sutter et al.			
Lit. 1 & 2	4,501,269	Feb-85	Bagby			
Lit. 2	4,545,374	Oct-85	Jacobson			
	4,553,273	Nov-85	Wu			
	4,554,914	Nov-85	Kapp et al.			
Lit. 2, 3b, & 3c	4,570,624	Feb-86	Wu			
	4,599,086	Jul-86	Doty			
	4,636,217	Jan-87	Ogilvie et al.			
Lit. 1	4,653,486	Mar-87	Coker			
	4,657,550	Apr-87	Daher			
	4,664,567	May-87	Edwards			
	4,710,075	Dec-87	Davison			
Lit. 1	4,713,004	Dec-87	Linkow et al.			
Lit. 3c	4,714,469	Dec-87	Kenna			
	4,736,738	Apr-88	Lipovesek et al.			
Lit. 1 & 2	4,743,256	May-88	Brantigan			
	4,743,260	May-88	Burton			
	4,763,644	Aug-88	Webb			
	4,790,303	Dec-88	Steffee			
	4,805,602	Feb-89	Puno et al.			
	4,820,305	Apr-89	Harms et al.			
Lit. 1 & 2	4,834,757	May-89	Brantigan			
Lit. 2	4,848,327	Jul-89	Perdue			
Lit. 2	4,863,476	Sep-89	Shepperd			
	4,863,477	Sep-89	Monson			
Lit. 1	4,877,020	Oct-89	Vich			
Lit. 1 & 2	4,878,915	Nov-89	Brantigan			
	4,904,260	Feb-90	Ray et al.			
✓	4,904,261	Feb-90	Dove et al.			

MB	4,936,848	Jun-90	Bagby			
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	5,015,247	May-91	Michelson			
Lit. 1	5,084,050	Jan-92	Draenert			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)
Lit. 2, 3a, 3b, & 3c	EP 0 077 159	04/1983	Europe			N/A
	EP 0 260 044	3/1988	Europe			N/A
	EP 0 268 115	4/1989	Europe			US Equivalent 4,820,305
	EP 0 307241	3/1989	Europe			N/A
	FR 2581336	11/1986	France			Abstract Only
Lit. 1	DE 1961531	07/1970	Germany			UK Equivalent GB 1291470
	DE 26 21 384	11/1977	Germany			US Equivalent 4,177,524
	DE 31 01 333	12/1981	Germany			Abstract Only
	DE 31 32 520	6/1982	Germany			Abstract Only
Lit. 1	DE 35 05 567 A1	06/1986	Germany			Abstract Only
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Lit. 1	ES 283078	05/1985	Spain			US Equivalent 4,877,020
	WO 86/03666	7/1986	WIPO			US Equivalent 5,084,050
	WO 88/03781	6/1988	WIPO			Abstract Only
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Lit. 1	Bagby, George W.; Wobbler Syndrome in Horses (the Ataxic Horse); Spokane County Medical Society Bulletin; Spring 1979.					
Lit. 1	Albrektsson, T., et al.; Osseointegrated Titanium Implants; Acta. Orthop. Scand.; Vol. 52:155-170 (1981).					
Lit. 1	Raveh, J., et al.; Neue Rekonstruktionsmöglichkeiten des Unterkiefers bei knöchernen Defekten nach Tumoresektionen; Der Chirurg Vol. 53:459-467 (1982).					
Lit. 1 & 2	DeBowes, R.M., et al.; Study of Bovine...Steel Baskets; Transactions of the 29th Annual Meeting; Orthopaedic Research Society, Vol. 8, p. 407, March 8-10 (1983).					
Lit. 2	Brandt, L., et al.; A Dowel Insert for Anterior Cervical Interbody Fusion; J. Neurosurg. 61:793-794 (October 1984).					
Lit. 1	Raveh, J., et al.; Use of the Titanium-coated Hollow Screw and Reconstruction Plate System in Bridging of Lower Jaw Defects; J. Oral Maxillofac Surg. 42:281-294 (1984).					
Lit. 1, 2, 3a, & 3b	Otero-Vich, Jose M.; Anterior Cervical Interbody Fusion with Threaded Cylindrical Bone; J. Neurosurg 63:750-753 (November 1985).					
Lit. 1	Morscher, E., et al.; Die vordere Verplattung der Halswirbelsäule mit dem Hohlschrauben-Plattensystem aus Titanium, Der Chirurg, Vol. 57, pp. 702-707 (1986) with English Translation.					
Lit. 1 & 2	Bagby, G.W.; Basket Implant Facilitates Spinal Fusion; Orthopedics Today, Vol. 7, No. 10, (October 1987).					
Lit. 1 & 3a	Butts, M. K., et al.; Biomechanical Analysis of a New Method for Spinal Interbody Fixation; 1987 Symposium, American Society of Mechanical Engineers, "Advances in Bioengineering", Boston, MA (Dec. 13-18, 1987).					
Lit. 1 ✓	Crawley et al.; A Modified Cloward's Technique for Arthrodesis of the Normal Metacarpophalangeal Joint in the Horse; Veterinary Surgery, Vol. 17, No. 3, pp. 117-127 (1988).					

Lit. 1	MB	Raveh, J., et al.; Surgical Procedures for Reconstruction of the Lower Jaw Using the Titanium-Coated Hollow-Screw Reconstruction Plate System: Bridging of Defects; Otolaryngologic Clinics of North America; Vol. 20, No. 3 (August 1987).
Lit. 2		Goldthwaite, N., et al.; Toward Percutaneous Spine Fusion; Ch. 45; Lumbar Spine Surgery; C.V. Mosby Company, pp. 512-522 (1987).
Lit. 1 & 2		Bagby, G.W.; Arthrodesis by the Distraction-Compression Method Using a Stainless Steel Implant; Orthopedics, Vol. II, No. 6, pp. 931-34 (June 1987).
Lit. 1		Itoman, M., et al.; Banked Bone Grafting for Bone Defect Repair--Clinical Evaluation of Bone Union and Graft Incorporation; J. Jpn. Orthop. Assoc. 62:461-469 (1988).
Lit. 3a & 3c		Cloward, Ralph B.; Surgical Techniques for Lumbar Disc Lesions; Codman; Signature Serial 3.
Lit. 3c		Cloward, Ralph B.; Ruptured Cervical Intervertebral Discs: Removal of Disc & Osteophytes & Anterior Cervical Interbody Fusion (A.C.I.F.); Codman; Signature Series 4.
Lit. 3		Cloward, Ralph B.; Recent Advances in Surgery of the Cervical Spine; pp. 285-293; German Society For Neurosurgery: Volume 2 Cervical Spine Operations; Excerpta Medica.
Lit. 3c		Hutter, Charles George; Spinal Stenosis and Posterior Lumbar Interbody Fusion; pp. 103-114; Clinical Orthopaedics and Related Research; No. 193; The Association of Bone and Joint Surgeons.
Lit. 3c		Lin, Paul M.; Posterior Lumbar Interbody Fusion; pp. 114-122; Charles C. Thomas; Springfield, Illinois.
Lit. 3a & 3b		Muller, M.E.; Manual of Internal Fixation: Techniques Recommended by the AO Group; Second Edition, Expanded and Revised; pp. 3-20, 27-41, 53-58, 71-78, 94, 311, 320; Springer-Verlag; 1979.
Lit. 3a & 3b		Hierholzer, G.; Manual on the AO/ASIF Tubular External Fixator; pp. 85-91; Springer-Verlag; 1985.
Lit. 3a & 3b		Heim, Urs; Small Fragment Set Manual: Technique Recommended by the ASIF-Group; pp. 5-7, 10, 20, 21, 30; Springer-Verlag; 1974.
Lit. 3c		Harmon, Paul H.; Anterior Excision and Vertebral Body Fusion Operation for Intervertebral Disk Syndromes of the Lower Lumbar Spine: Three- to Five-Year Results in 244 Cases; pp. 107-127; Clinical Orthopaedics and Related Research, No. 26, J.B. Lippincott Company, 1963.
Lit. 3c		Harmon, Paul H.; A Simplified Surgical Technic for Anterior Lumbar Discectomy and Fusion; Avoidance of Complications; Anatomy of the Retroperitoneal Veins; pp. 130-143; Clinical Orthopaedics and Related Research, No. 37, J.B. Lippincott Company, 1964.
Lit. 3b		Bullough, Peter G.; Atlas of Spinal Diseases; Figure 5.7; J.B. Lippencott Company; 1988
		Arnold, David M.; State of the Art Reviews: Pedicle Fixation of the Lumbar Spine; pp. 176-200, Hanley & Belfus, Inc., 1992
		North American Spine Society Second Annual Meeting, June 25-28, 1987
		Cloward, Ralph B.; The Treatment of Ruptured Lumbar Intervertebral Discs by Vertebral Body Fusion; J Neurosurg. 10; pp. 154-167; 1953
		Morscher, E. et al.; The Anterior Plating of the Cervical Spine with the Titanium Hollow Screw System; Surgeon 57; 702-707; 1986
	✓	White, Arthur H.; Lumbar Spine Surgery: Some Opinions of General Orthopedists and Superspecialists; pp. 11-15; 27; 30; 35-45; 265-268; 1987
EXAMINER		DATE CONSIDERED
MB		3-29-03
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.		